# Events and languages on unary quantum automata

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- Deterministic and quantum automata (Measure-Once and Measure-Many)
- Unary regular languages
- Recognizing unary regular languages with MM-qfa's
- Periodicity decision problems on events induced by qfa's
- Transient and ergodic components of the nonhalting space
- Conclusion and open problems

## Deterministic finite automata (dfa)

input alphabet

set of states

initial state  $\pi_0 \in \{0,1\}^{|Q|}$ 

## $DA = \langle \Sigma, Q, \pi_0, \{B(\sigma)\}_{\sigma \in \Sigma}, \eta \rangle$

transition matrices  $B(\sigma) \in \{0,1\}^{|Q| \times |Q|}$ 

characteristic vector of the final states  $\eta \in \{0,1\}^{|Q|}$ 

Language recognized by DA:

$$L_{DA} = \{ w \in \Sigma^* | \pi_0 B(w) \eta = 1 \}$$

where, for  $w = \sigma_1 \cdots \sigma_n$ , it holds  $B(w) = B(\sigma_1) \cdots B(\sigma_n)$ 

## Quantum finite automata (qfa)

- $Q = \{q_1, \ldots, q_k\}$  is the set of pure states,
- $\pi = \alpha_1 \bar{q}_1 + \ldots + \alpha_k \bar{q}_k$ , such that  $\|\pi\| = 1$ , is the superposition of the pure states,
- $\alpha_i \in \mathbf{C}$  is the amplitude of  $q_i$  in  $\pi$ ,
- $|\alpha_i|^2$  is the probability of observing  $q_i$  in  $\pi$ ,
- $U(\sigma) \in \mathbb{C}^{|Q| \times |Q|}$  is the transition unitary matrix  $(\pi U(\sigma) = \pi')$ ,
  - Matrix representation of a qfa:

$$\langle \Sigma, Q, \pi_0, \{U(\sigma)\}_{\sigma \in \Sigma}, O \rangle$$

### The Measure-Once model

Matrix representation of a MO-qfa:

$$QA_{MO} = \langle \Sigma, Q, \{U(\sigma)\}_{\sigma \in \Sigma}, O = \eta \rangle$$

The event induced by the automaton  $QA_{MO}$  on  $w = \sigma_1 \cdots \sigma_n$  is

$$p_{QA}(w) = \|\pi_0 U(w) \operatorname{diag}(\eta)\|^2$$

and represents the probability of accepting the word w.

## The Measure-Many model

non-halting states

Matrix representation of a MM-qfa:

halting states

$$QA_{MM} = \left\langle \Sigma, Q, \{U(\sigma)\}_{\sigma \in (\Sigma \cup \{\#\})}, O = \{P_a, P_r, P_g\} \right\rangle$$

The event induced by the automaton  $QA_{MM}$  on  $w = \sigma_1 \cdots \sigma_n$  is

$$p_{QA}(w) = \sum_{i=1}^{n} \|\pi_0 \prod_{j=1}^{i-1} [U(\sigma_j)P_g] U(\sigma_i)P_a\|^2 + \|\pi_0 \prod_{j=1}^{n} [U(\sigma_j)P_g] U(\sharp)P_a\|^2$$

and represents the probability of accepting the word w.

### Languages recognized

Language recognized by QA with cut point  $0 \le \lambda \le 1$ :

$$L_{QA} = \{ w \in \Sigma^* \mid p_{QA}(w) > \lambda \}$$

For  $0 \le \varepsilon \le \frac{1}{2}$ , QA recognizes  $L_{QA}$  with cut point  $\lambda$  isolated by  $\varepsilon$ , if it holds

$$p_{QA}(w) \left\{ \begin{array}{ll} \geq \lambda + \varepsilon & \text{se } w \in L_{QA} \\ \leq \lambda - \varepsilon & \text{se } w \notin L_{QA} \end{array} \right.$$

## Languages recognized

dfa: regular languages

MO-qfa: reversible regular languages (transition=permutation)

MM-qfa: ?



## Forbidden constructions

If a language L contains one of the following patterns in its minimal dfa



Brodsky, Pippenger '99



Ambainis, Kikusts, Valdats '00

then it can not be recognized by a MM-qfa.

## Unary regular languages

Unary language:  $L \subseteq \{\sigma\}^*$ 

Standard dfa for a unary language *L*:



T transient states

P ergodic states

## Recognition with qfa's





MM-qfa T states





Recognition with cut point  $\frac{3}{8}$  isolated by  $\frac{1}{8}$ , using O(T+P) states

### Properties of qfa's

An event *p* is *m*-periodic if, for every  $k \ge 0$ , it holds

$$p(k) = p(k+m)$$

Example of *P*-periodic event:

$$\chi_P(n)$$

**Theorem 3.** [7] Let p be an m-periodic event whose discrete Fourier transform  $\mathcal{F}(p)$  satisfies  $\|\mathcal{F}(p)\|_1 = \sum_{i=1}^m |(\mathcal{F}(p))_i| \leq m$ . Then, there exists a MO-1qfa A with  $O(\frac{\log m}{\delta^2})$  pure states such that  $p_A$  is a  $\delta$ -approximation of the event  $\frac{1}{2} + \frac{1}{2}p$ .

### Particular cases

#### If $\|\mathcal{F}(\chi_P)\|_1 \leq P$ :

$$\frac{1}{2} \chi_{T}(n) + \frac{1}{4} \chi_{P}(n) + \frac{1}{4} \chi_{\hat{T}}(n)$$

$$\downarrow By Theorem 3$$

$$\frac{1}{2} \chi_{T}(n) + \frac{1}{4} \left( \frac{1}{2} + \frac{1}{2} \chi_{P}(n) + f_{\delta}(n) \right) + \frac{1}{4} \chi_{\hat{T}}(n)$$

Recognition with cut point  $\frac{7}{16}$  isolated by  $\frac{1}{32}$ , using  $O(T + \log P)$  states.

### Particular cases

Ultimately periodic languages of period 1:



If  $\|\mathcal{F}(\chi_{T^{\circ}})\|_{1} \leq T^{3}$  we can induce the event 2 states  $(\cos \theta)^{2n} \left(\frac{1}{2} + \frac{1}{2}\chi_{T^{\circ}}(n) + f_{1/8}(n)\right)$ 

and recognize L with cut point  $\frac{11}{16}$  isolated by  $\frac{1}{16}$ , using  $O(\log T)$  states.

## Particular cases

Ultimately periodic languages of period 1:



Similarly, if  $\|\mathcal{F}(\bar{\chi}_{T^{\circ}})\|_1 \leq T^3$  we can induce the event

$$1 - (\cos\theta)^{2n} \left(\frac{1}{2} + \frac{1}{2}\bar{\chi}_{T^{\circ}}(n) + f_{1/8}(n)\right)$$

and recognize L with cut point  $\frac{5}{16}$  isolated by  $\frac{1}{16}$ , using  $O(\log T)$  states.

# Establishing d-periodic behaviors

### d - PERIODICITY

- Input: a unary qfa A and an integer d > 0.
- Question: is  $p_A$  a *d*-periodic event?
- For any unary MM-qfa we give a simple representation  $(\tilde{\pi}, \tilde{U}, \tilde{\eta}_1, \tilde{\eta}_2)$ , such that

$$p_A(n) = \sum_{i=1}^{n-1} \tilde{\pi} \tilde{U}^i \tilde{\eta}_1 + \tilde{\pi} \tilde{U}^n \tilde{\eta}_2$$

$$- \tilde{\pi} = \pi \otimes \pi^*, 
- \tilde{U} = (U(\sigma)P_I(g)) \otimes (U(\sigma)P_I(g))^*, 
- \tilde{\eta}_1 = (U(\sigma) \otimes U(\sigma)^*) \sum_{j=1}^m (P_I(a))_j \otimes (P_I(a))_j, 
- \tilde{\eta}_2 = (U(\#) \otimes U(\#)^*) \sum_{j=1}^m (P_F(a))_j \otimes (P_F(a))_j$$



## Establishing d-periodic behaviors

d-periodicity condition:  $\forall n \in \mathbb{N} \ p_A(n) = p_A(n+d)$ 

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## Establishing d-periodic behaviors

d-periodicity condition:  $\forall n \in \mathbb{N} \ p_A(n) = p_A(n+d)$ 

In the input qfa has rational entries, so do P(z) and P'(z), and their degree is at most the dimension of U
, so the check can be done in polynomial time.

## Analyzing the non-halting space

- **Lemma.** [Ambainis, Freivalds '98] There exist two subspaces  $E_1, E_2$  such that  $E_g = E_1 \oplus E_2$ , and
  - if  $\pi \in E_1$ , then  $\pi U(\sigma)P(g) \in E_1$  and  $\|\pi U(\sigma)P(g)\| = \|\pi\|$ ;
  - if  $\pi \in E_2$ , then  $\|\pi(U(\sigma)P(g))^k\| \to 0$ , for  $k \to \infty$ .
- $E_1$  is the ergodic space and  $E_2$  the transient space.
- Problem: finding the dimension of E<sub>1</sub> (and E<sub>2</sub>).
   Key idea: count the modulus 1 eigenvalues of the restriction of U(σ)P(g) to E<sub>g</sub>.
- For any MM-qfa  $A = (\pi, \{U(\sigma), U(\sharp)\}, \mathcal{O})$ , there exists an equivalent  $A' = (\pi', \{M(\sigma), M(\sharp)\}, \mathcal{O}')$  described by real entries [Blondel et al., '05]

$$\pi_{i} = a + ib \qquad (a, b)$$
$$U_{ij} = c + id \qquad (c d) \\ -d c \end{pmatrix}$$

## Analyzing the non-halting space

Let  $U_g$  and  $M_g$  be the restriction of, resp.,  $U(\sigma)$  and  $M(\sigma)$  to  $E_g$ . We show that:

 If λ<sub>1</sub>,..., λ<sub>μ</sub> are the eigenvalues of U<sub>g</sub>, then the eigenvalues of M<sub>g</sub> are λ<sub>1</sub>,..., λ<sub>μ</sub>, λ<sup>\*</sup><sub>1</sub>,..., λ<sup>\*</sup><sub>μ</sub>.

If 
$$\lambda_j = e^{i\theta_j}$$
, then  $\lambda_j^* = e^{-i\theta_j} = \frac{1}{\lambda_j}$  is a root of  $q_{M_g}(\lambda)$ ;  
if  $|\lambda_j| < 1$  then  $\left|\frac{1}{\lambda_j}\right| > 1$ , therefore  $\frac{1}{\lambda_j}$  cannot be a root of  $q_{M_g}(\lambda)$ .

Our algorithm:

- compute  $h_{M_g}(\lambda) = \gcd(q_{M_g}(\lambda), q_{M_g}(\frac{1}{\lambda}));$
- output: deg[ $h_{M_g}(\lambda)$ ] / 2 ;

Time complexity:

polynomial if A has rational entries.

## Conclusion

#### **Contributions:**

- characterization of the class of languages recognized by unary MM-qfa's;
- families of languages for which the recognizing MM-qfa is exponentially smaller;
- decision problems on d-periodicity;
- analysis of the non-halting subspaces dimensions.

#### **Open problems:**

- MM-qfa's for more general classes of languages;
- other periodicity problems on events and languages.