

Model Syntax-Directed Translations by Tree Transducers¹

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- 1 Introduction
- 2 Preliminaries
- 3 Quasi-Alphabetic Tree Relations
- 4 Quasi-Alphabetic Tree Transducers

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Syntax-Based Machine Translation

- **Syntax-based machine translation** was established to meet with the demand for systems used in practical translations between natural languages [Knight 2007]
- An ideal such system should [Knight 2007]
 - capture syntax-sensitive transformations (i.e., tree transformations)
 - do difficult rotations (reorder parts of sentences)
 - preserve recognizability of tree languages
 - be closed under inverses
 - have composability (smaller parts easier to test, train, etc.)
 - be efficiently trainable

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How to Capture Tree Transformations?

1 Synchronous grammars

- ▶ naturally define all kinds of difficult rotations: e.g. Arabic-English
- ▶ are trainable
- ▶ **very few mathematical properties are known** [Shieber 2004]

2 Tree bimorphisms

- ▶ algebraic mechanisms, harder to implement (no available tools)
- ▶ no trainability results are known
- ▶ naturally closed under inverses
- ▶ **composition and preservation of recognizability easier to establish** by imposing suitable restrictions on their constituents [Arnold & Dauchet 1982, Bozapalidis 1992]

3 Tree transducers

- ▶ easy to implement: many available tools, e.g. TIBURON/ISI
- ▶ are trainable [Graehl, Knight & May 2008]
- ▶ closure under **composition and preservation of recognizability does not hold** for the main types [Gécseg & Steinby 1984, Knight 2007]

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Synchronous Grammars as Tree Bimorphisms

- Why not relate synchronous grammars and tree transducers via tree bimorphisms? [Shieber 2004]
 - 1 mathematical framework of synchronous grammars is improved
 - 2 link similar tree transformations defining formalisms
 - 3 the tree bimorphisms are easier to implement
- [Steinby & Tîrnăucă 2007] introduced the class of **quasi-alphabetic tree bimorphisms** which:
 - 1 is closed under composition and inverses, and preserves recognizability
 - 2 naturally describes the tree transformations defined by SDTs
- Moreover, we:
 - 1 introduced the **quasi-alphabetic tree transducer**
 - 2 proved that this type of transducer computes the tree transformations defined by quasi-alphabetic tree bimorphisms

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Tree Languages - Basic Facts

- Σ ranked alphabet, X leaf alphabet (variables), Ξ formal variables
- $\Xi_m = \{\xi_1, \xi_2, \dots, \xi_m\}$ (keeps track of the subtrees)
- $T_\Sigma(X)$ = set of all trees labeled by symbols in Σ and variables X
- **tree languages** = subsets of $T_\Sigma(X)$
- $\text{yd}(t)$ = the **yield** of the tree t , i.e., the leaf symbols in t , read from left to right
- $t[t_1, \dots, t_n]$ = replace every occurrence of ξ_i in t by t_i , $\forall i \in [n]$
- **tree homomorphism** = are determined by
 - ▶ one mapping (φ_X) to transform leaf variables into output trees and
 - ▶ a family of mappings (φ_m) to transform the input symbols into output trees with formal variables as leaves
- **tree recognizer** = recognizes regular tree languages and uses states (some initial ones to accept) to process the symbols in a top-down fashion:

$$q(f(\xi_1, \xi_2, \xi_3)) \rightarrow f(q_1(\xi_1), q_2(\xi_2), q_3(\xi_3))$$

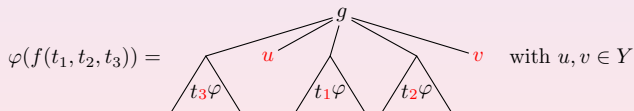
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Quasi-Alphabetic Tree Homomorphisms

Formal Definition

A tree homomorphism $\varphi: T_{\Sigma}(X) \rightarrow T_{\Delta}(Y)$ is **quasi-alphabetic** if

- 1 is linear, i.e., **no copying** is allowed
- 2 is complete, i.e., **no subtree information** is lost
- 3 each variable in X is mapped into a variable in Y
- 4 maps each input symbol to an output symbol **possibly with some output leaf symbols as direct subtrees** and formal variables have to occur as direct subtrees of the root output symbol, **possibly in other order**

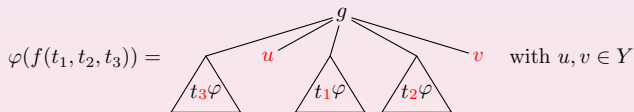


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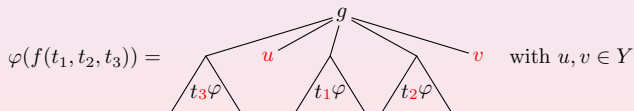


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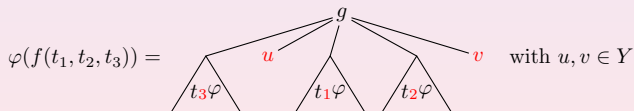


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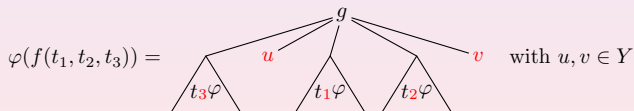


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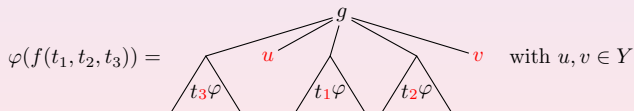


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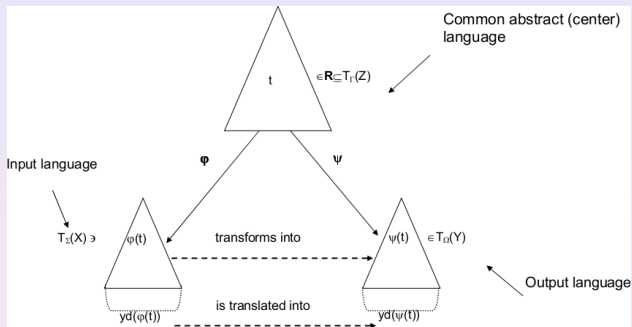
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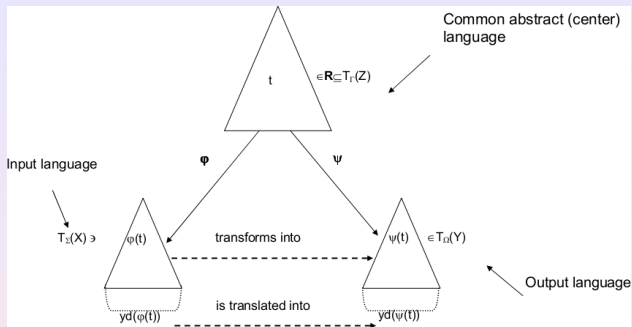


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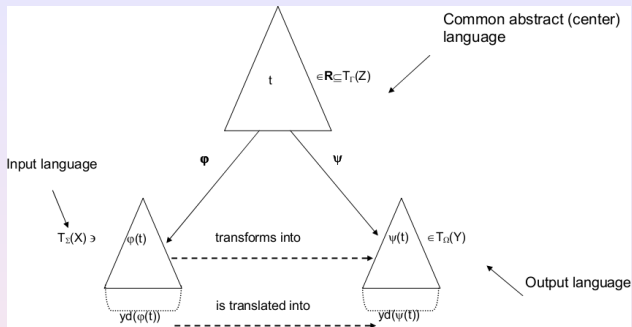
- $B = (\varphi, L, \psi)$ is a **quasi-alphabetic tree bimorphism** if
 - ▶ the input tree homomorphism φ is **quasi-alphabetic**
 - ▶ the center language L is **regular**
 - ▶ the output tree homomorphism ψ is **quasi-alphabetic**
- **tree transformation** defined by $B: \tau_B = \{(\varphi(t), \psi(t)) \mid t \in L\}$
- **translation** defined by $B: yd(\tau_B) = \{(yd(s), yd(t)) \mid (s, t) \in \tau_B\}$
- **quasi-alphabetic tree relations**=class of tree transformations defined by such bimorphisms

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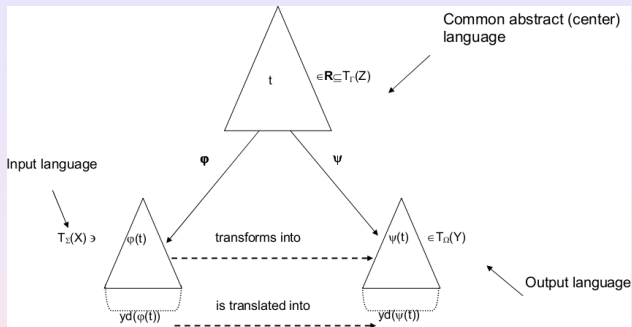
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Quasi-Alphabetic Tree Transducer

Two Necessary Notations

$$\Sigma(X) = \{f(x_1, \dots, x_k) \mid f \in \Sigma_k, x_1, \dots, x_k \in X\}$$

$$C_{\Sigma}^m(X) = \{t \in T_{\Sigma}(X \cup \Xi_m) \mid \forall i \in [m], \text{ each } \xi_i \text{ appears once in } t\}$$

Definition

A system $M = (Q, \Sigma, X, \Omega, Y, I, R)$ is a **quasi-alphabetic tree transducer** if

- $Q = Q_1$ is a ranked alphabet of **states** with $Q \cap (X \cup \Sigma \cup Y \cup \Omega) = \emptyset$
- X and Σ are the **input alphabets**, and Y and Ω are the **output alphabets**
- $I \subseteq Q$ is a set of **initial states**
- R is a finite set of **rules** each one of the form
(Q1) $q(x) \rightarrow y$, $x \in X$ and $y \in Y$, or

$q(s) \rightarrow t$, with (for some $m \geq 0$)
 $s \in \Sigma(X \cup \Xi_m) \cap C_{\Sigma}^m(X)$ and
 $t \in \Omega(Y \cup Q(\Xi_m)) \cap C_{\Omega \cup Q}^m(Y)$

(Q2)



Tree Transformation Computed by M

$$\tau_M = \{(s, t) \in T_{\Sigma}(X) \times T_{\Omega}(Y) \mid \exists q \in I: q(s) \Rightarrow_M^* t\}$$

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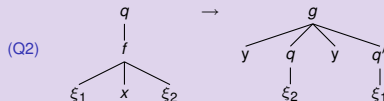
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- $Q = Q_1$ is a ranked alphabet of **states** with $Q \cap (X \cup \Sigma \cup Y \cup \Omega) = \emptyset$
- X and Σ are the **input alphabets**, and Y and Ω are the **output alphabets**
- $I \subseteq Q$ is a set of **initial states**
- R is a finite set of **rules** each one of the form
(Q1) $q(x) \rightarrow y$, $x \in X$ and $y \in Y$, or

$q(s) \rightarrow t$, with (for some $m \geq 0$)
 $s \in \Sigma(X \cup \Xi_m) \cap C_{\Sigma}^m(X)$ and
 $t \in \Omega(Y \cup Q(\Xi_m)) \cap C_{\Omega \cup Q}^m(Y)$



Tree Transformation Computed by M

$$\tau_M = \{(s, t) \in T_{\Sigma}(X) \times T_{\Omega}(Y) \mid \exists q \in I: q(s) \Rightarrow_M^* t\}$$

Quasi-Alphabetic Tree Transducer

Two Necessary Notations

$$\Sigma(X) = \{f(x_1, \dots, x_k) \mid f \in \Sigma_k, x_1, \dots, x_k \in X\}$$

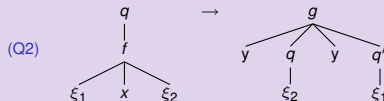
$$C_{\Sigma}^{\Omega}(X) = \{t \in T_{\Sigma}(X \cup \Xi_n) \mid \forall i \in [n], \text{ each } \xi_i \text{ appears once in } t\}$$

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Main Result - Sketch of the Proof

Theorem

The set of all quasi-alphabetic tree relations is **effectively equal** to the class of all tree transformations computed by quasi-alphabetic tree transducers.

Sketch of the Proof (Tree Bimorphism to Tree Transducer)

- Let $B = (\varphi, L, \psi)$ be a quasi-alphabetic tree bimorphism ($L \subseteq T_{\Sigma}(Z)$)
- Assume w.l.o.g. that formal variables appear in lexicographic order (z_1, z_2, \dots, z_n)
- The mapping φ is $T_{\Sigma}(Z) \rightarrow (Q, R, \Delta)$ and ψ is a mapping $(Q, R, \Delta) \rightarrow T_{\Sigma}(Z)$
- Construct a set \mathcal{P} from B :
 - $\mathcal{P} = \{g(\xi_1, x, \xi_2, \xi_3, x) \mid g \in L\}$ in $T_{\Sigma}(Z)$
 - $\mathcal{P} = \{h(y, \xi_1, \xi_3, \xi_2) \mid h \in L\}$ in $T_{\Sigma}(Z)$
- Take $M = (Q, R, \Delta, T_{\Sigma}(Z), \mathcal{P})$ quasi-alphabetic tree transducer
- Then $\varphi \circ \psi = \tau$

Example

Let $f \in T_{\Sigma}$, $\varphi_3(f) = g(\xi_1, x, \xi_2, \xi_3, x)$ and $\psi_3(f) = h(y, \xi_1, \xi_3, \xi_2)$. Then:



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- 2 Assume w.l.o.g. that formal variables appear in φ in order $(\xi_1, \xi_2, \dots, \text{etc.})$
- 3 L is recognizable, so $\exists A = (Q, R, I)$ tree recognizer such that $L = T(A)$
- 4 Construct a set R' from R :
Add $q(\varphi_Z(z)) \rightarrow \psi_Z(z)$ to R' , for every $q(z) \rightarrow z$ in R
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Let $f \in \Gamma_3$, $\varphi_3(f) = g(\xi_1, x, \xi_2, \xi_3, x)$ and $\psi_3(f) = h(y, \xi_1, \xi_3, \xi_2)$. Then:



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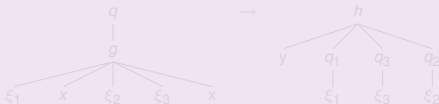
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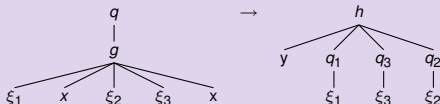
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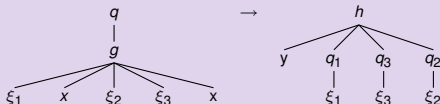
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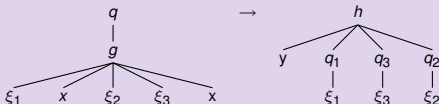
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To construct the quasi-alphabetic tree bimorphism from the quasi-alphabetic tree transducer was **more technical** and, therefore, omitted. Details in the paper. The idea: the **rules** of the tree transducer are **coded** into the **center language** of the tree bimorphisms

Quasi-alphabetic tree transducer is a restrictive type of extended top-down tree transducer [Knight 2007] (arbitrary left-hand sides of the rules)

Synchronous tree substitution grammars, linear complete tree bimorphisms and extended top-down tree transducers were **connected** [Shieber 2004, Knight 2007]

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That's all folks!

Thank you!